

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

STEVE VASQUEZ,)	
)	No. CV-08-078-CI
Plaintiff,)	
)	ORDER GRANTING PLAINTIFF'S
v.)	MOTION FOR SUMMARY JUDGMENT
)	AND REMANDING FOR ADDITIONAL
MICHAEL J. ASTRUE,)	PROCEEDINGS PURSUANT TO
Commissioner of Social)	SENTENCE FOUR 42 U.S.C. §
Security,)	405(g)
)	
Defendant.)	
)	
)	

BEFORE THE COURT are cross-Motions for Summary Judgment (Ct. Rec. 19, 21.) Attorney Maureen J. Rosette represents Plaintiff; Special Assistant United States Attorney Thomas M. Elsberry represents Defendant. The parties have consented to proceed before a magistrate judge. (Ct. Rec. 7.) After reviewing the administrative record and briefs filed by the parties, the court **GRANTS** Plaintiff's Motion for Summary Judgment, **DENIES** Defendant's Motion for Summary Judgment, and remands the matter to the Commissioner for additional proceedings.

JURISDICTION

Plaintiff Steve Vasquez (Plaintiff) protectively filed for Supplemental Security Income on May 26, 2005, and for Disability Insurance Benefits (DIB) on June 13, 2005. (Tr. 378, 48.) Plaintiff alleged an onset date of June 1, 2004. (Tr. 378, 34.) Benefits were

1 denied initially and on reconsideration. (Tr. 30, 34, 370, 374.)
2 Plaintiff requested a hearing before an administrative law judge
3 (ALJ), which was held before ALJ Richard Say on May 30, 2007. (Tr.
4 390-409.) Plaintiff was represented by counsel and testified at the
5 hearing. (Tr. 394-404.) Vocational expert Deborah LaPoint testified.
6 (Tr. 404-08.) At the hearing, Plaintiff's counsel indicated that
7 Plaintiff was seeking a closed period of disability from June 1, 2004,
8 to the date of the hearing. (Tr. 393.) The ALJ denied benefits (Tr.
9 12-22) and the Appeals Council denied review. (Tr. 5.) The instant
10 matter is before this court pursuant to 42 U.S.C. § 405(g).

11 **STATEMENT OF FACTS**

12 The facts of the case are set forth in the administrative hearing
13 transcripts, the ALJ's decision, and the briefs of Plaintiff and the
14 Commissioner, and will therefore only be summarized here.

15 At the time of the hearing, Plaintiff was 52 years old. (Tr.
16 394.) Plaintiff is a high school graduate. (Tr. 394.) Plaintiff's
17 past work experience is as an electrician. (Tr. 55.) Plaintiff
18 testified that in June 2004, his liver shut down, he turned yellow and
19 ashen-faced and started deteriorating. (Tr. 395.) He has trouble
20 with liver disease, cirrhosis and hypertension which prevented him
21 from working. (Tr. 395.) Plaintiff testified he had been a heavy
22 drinker, but he went to alcohol treatment after he got sick. (Tr.
23 396.) During his period of alleged disability, Plaintiff testified he
24 had pain, could not drive or stay in any position for very long and
25 his muscles deteriorated. (Tr. 399-400.)

26 **STANDARD OF REVIEW**

27 Congress has provided a limited scope of judicial review of a
28

1 Commissioner's decision. 42 U.S.C. § 405(g). A court must uphold the
2 Commissioner's decision, made through an ALJ, when the determination
3 is not based on legal error and is supported by substantial evidence.
4 See *Jones v. Heckler*, 760 F.2d 993, 995 (9th Cir. 1985); *Tackett v.*
5 *Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). "The [Commissioner's]
6 determination that a plaintiff is not disabled will be upheld if the
7 findings of fact are supported by substantial evidence." *Delgado v.*
8 *Heckler*, 722 F.2d 570, 572 (9th Cir. 1983) (citing 42 U.S.C. § 405(g)).
9 Substantial evidence is more than a mere scintilla, *Sorenson v.*
10 *Weinberger*, 514 F.2d 1112, 1119 n.10 (9th Cir. 1975), but less than a
11 preponderance. *McAllister v. Sullivan*, 888 F.2d 599, 601-602 (9th Cir.
12 1989); *Desrosiers v. Secretary of Health and Human Services*, 846 F.2d
13 573, 576 (9th Cir. 1988). Substantial evidence "means such evidence
14 as a reasonable mind might accept as adequate to support a
15 conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971)
16 (citations omitted). "[S]uch inferences and conclusions as the
17 [Commissioner] may reasonably draw from the evidence" will also be
18 upheld. *Mark v. Celebrezze*, 348 F.2d 289, 293 (9th Cir. 1965). On
19 review, the court considers the record as a whole, not just the
20 evidence supporting the decision of the Commissioner. *Weetman v.*
21 *Sullivan*, 877 F.2d 20, 22 (9th Cir. 1989) (quoting *Kornock v. Harris*,
22 648 F.2d 525, 526 (9th Cir. 1980)).

23 It is the role of the trier of fact, not this court, to resolve
24 conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence
25 supports more than one rational interpretation, the court may not
26 substitute its judgment for that of the Commissioner. *Tackett*, 180
27 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579 (9th Cir. 1984).

1 Nevertheless, a decision supported by substantial evidence will still
2 be set aside if the proper legal standards were not applied in
3 weighing the evidence and making the decision. *Browner v. Sec'y of*
4 *Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). Thus,
5 if there is substantial evidence to support the administrative
6 findings, or if there is conflicting evidence that will support a
7 finding of either disability or nondisability, the finding of the
8 Commissioner is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-
9 1230 (9th Cir. 1987).

10 SEQUENTIAL PROCESS

11 The Social Security Act (the "Act") defines "disability" as the
12 "inability to engage in any substantial gainful activity by reason of
13 any medically determinable physical or mental impairment which can be
14 expected to result in death or which has lasted or can be expected to
15 last for a continuous period of not less than twelve months." 42
16 U.S.C. §§ 423 (d)(1)(A), 1382c (a)(3)(A). The Act also provides that
17 a Plaintiff shall be determined to be under a disability only if his
18 impairments are of such severity that Plaintiff is not only unable to
19 do his previous work but cannot, considering Plaintiff's age,
20 education and work experiences, engage in any other substantial
21 gainful work which exists in the national economy. 42 U.S.C. §§
22 423(d)(2)(A), 1382c(a)(3)(B). Thus, the definition of disability
23 consists of both medical and vocational components. *Edlund v.*
24 *Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001).

25 The Commissioner has established a five-step sequential
26 evaluation process for determining whether a claimant is disabled. 20
27 C.F.R. §§ 404.1520, 416.920. Step one determines if he or she is

1 engaged in substantial gainful activities. If the claimant is engaged
2 in substantial gainful activities, benefits are denied. 20 C.F.R. §§
3 404.1520(a)(4)(I), 416.920(a)(4)(I).

4 If the claimant is not engaged in substantial gainful activities,
5 the decision maker proceeds to step two, which determines whether the
6 claimant has a medically severe impairment or combination of
7 impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii). If
8 the claimant does not have a severe impairment or combination of
9 impairments, the disability claim is denied.

10 If the impairment is severe, the evaluation proceeds to the third
11 step, which compares the claimant's impairment with a number of listed
12 impairments acknowledged by the Commissioner to be so severe as to
13 preclude substantial gainful activity. 20 C.F.R. §§
14 404.1520(a)(4)(ii), 416.920(a)(4)(ii); 20 C.F.R. § 404, Subpt. P, App.
15 1. If the impairment meets or equals one of the listed impairments,
16 the claimant is conclusively presumed to be disabled.

17 If the impairment is not one conclusively presumed to be
18 disabling, the evaluation proceeds to the fourth step, which
19 determines whether the impairment prevents the claimant from
20 performing work he or she has performed in the past. If plaintiff is
21 able to perform his or her previous work, the claimant is not
22 disabled. 20 C.F.R. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). At
23 this step, the claimant's residual functional capacity ("RFC")
24 assessment is considered.

25 If the claimant cannot perform this work, the fifth and final
26 step in the process determines whether the claimant is able to perform
27 other work in the national economy in view of his or her residual
28

1 functional capacity and age, education and past work experience. 20
2 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Bowen v. Yuckert*, 482
3 U.S. 137 (1987).

4 The initial burden of proof rests upon the claimant to establish
5 a *prima facie* case of entitlement to disability benefits. *Rhinehart*
6 *v. Finch*, 438 F.2d 920, 921 (9th Cir. 1971); *Meanel v. Apfel*, 172 F.3d
7 1111, 1113 (9th Cir. 1999). The initial burden is met once the
8 claimant establishes that a physical or mental impairment prevents him
9 from engaging in his or her previous occupation. The burden then
10 shifts, at step five, to the Commissioner to show that (1) the
11 claimant can perform other substantial gainful activity, and (2) a
12 "significant number of jobs exist in the national economy" which the
13 claimant can perform. *Kail v. Heckler*, 722 F.2d 1496, 1498 (9th Cir.
14 1984).

15 ALJ'S FINDINGS

16 At step one of the sequential evaluation process, the ALJ found
17 Plaintiff has not engaged in substantial gainful activity since June
18 1, 2004, the alleged onset date. (Tr. 14.) At steps two and three,
19 he found Plaintiff has the severe impairment of cirrhosis of the
20 liver, but the impairment does not meet or medically equal one of the
21 listed impairments in 20 C.F.R., Appendix 1, Subpart P, Regulations
22 No. 4 (Listings). (Tr. 14, 17.) The ALJ then determined "[C]laimant
23 has the residual functional capacity to perform light exertion level
24 activities. He can frequently engage in crawling, kneeling, balancing,
25 and climbing ramps or stairs. He can occasionally engage in stooping
26 or crouching." (Tr. 17-18.) At step four, the ALJ found Plaintiff is
27 unable to perform any past relevant work. (Tr. 20.) Based on
28

1 vocational expert testimony and the Plaintiff's age, education, work
2 experience and residual functional capacity, the ALJ concluded
3 Plaintiff has acquired work skills from past relevant work that are
4 transferable to other occupations with jobs existing in significant
5 numbers in the national economy. (Tr. 20-21.) As such, the ALJ
6 found Plaintiff was not under a disability as defined in the Social
7 Security Act from June 1, 2004, through May 30, 2007, the closed
8 period of disability. (Tr. 22.)

9 ISSUES

10 The question is whether the ALJ's decision is supported by
11 substantial evidence and free of legal error. Specifically, Plaintiff
12 argues the ALJ erred by not determining that Plaintiff has a listed
13 impairment and by failing to properly consider the medical evidence.
14 (Ct. Rec. 20 at 10-11.) Defendant argues the ALJ properly evaluated
15 the opinion evidence and the record does not support the finding of a
16 listed impairment. (Ct. Rec. 22 at 5, 9.)

17 DISCUSSION

18 Plaintiff argues the opinions of John Watts, PA-C, and Rasan
19 Spraggins, PA-C, should be credited because the ALJ failed to properly
20 reject them. (Ct. Rec. 20 at 10.) The ALJ must consider the opinions
21 of acceptable medical sources about the nature and severity of the
22 Plaintiff's impairments and limitations. 20 C.F.R. §§ 404.1527,
23 416.927; S.S.R. 96-2p; S.S.R. 96-6p. Acceptable medical sources
24 include licensed physicians and psychologists.¹ 20 C.F.R. §§
25

26 ¹Acceptable medical sources also include licensed podiatrists and
27 optometrists and qualified speech-language pathologists, in their
28

1 404.1513(a), 416.913(a). The ALJ may also consider evidence from
2 "other sources" regarding the severity of an impairment and how it
3 affects the ability to work. "Other sources" include nurse
4 practitioners, physicians' assistants, therapists, teachers, social
5 workers, spouses and other non-medical sources. 20 C.F.R. §§
6 404.1513(d), 416.913(d).

7 The opinion of an acceptable medical source is given more weight
8 than that of an "other source." 20 C.F.R. §§ 404.1527, 416.927; *Gomez*
9 *v. Chater*, 74 F.3d 967, 970-71 (9th Cir. 1996). However, the ALJ must
10 "consider observations by non-medical sources as to how an impairment
11 affects a claimant's ability to work." *Sprague v. Bowen*, 812 F.2d
12 1226, 1232 (9th Cir. 1987). The ALJ is obligated to give reasons
13 "germane" to lay witness testimony before discounting it. *Dodrill v.*
14 *Shalala*, 12 F.3d 915, 919 (9th Cir. 1993). John Watts and Rasan
15 Spraggins are physician assistants and are "other sources" or lay
16 witnesses. The ALJ was therefore required to provide germane reasons
17 for discounting their opinions.

18 Plaintiff saw physician assistant John Watts on December 10,
19 2004. (Tr. 134-136.) Mr. Watts diagnosed ascites, alcohol abuse,
20 hypertension and rectal bleeding. (Tr. 15, 135.) He completed a DSHS
21 Physical Evaluation form and assessed severe limitations in the
22 ability to sit, walk, lift and carry due to ascites, and severe
23 limitations in communicating and understanding or following directions
24 due to alcohol abuse. (Tr. 139.) Mr. Watts indicated that

25 _____
26 respective areas of specialty only. 20 C.F.R. §§ 404.1513(a),
27 416.913.(a).

1 Plaintiff's ability to crouch, kneel, pull, push and sit were
2 restricted due to his greatly enlarged abdomen and opined that
3 Plaintiff's overall work level was severely limited. (Tr. 15, 139.)

4 Plaintiff argues Mr. Watts' opinion should be credited. (Ct.
5 Rec. 20 at 10.) However, as Plaintiff also points out, the ALJ did
6 not reject Mr. Watts' opinion. (Ct. Rec. 20 at 9.) The ALJ noted
7 Mr. Watts' December 2004 opinion that claimant was severely limited
8 due to his greatly enlarged abdomen. (Tr. 19.) The context of the
9 ALJ's note about Mr. Watts' opinion suggests the ALJ accepted the
10 assessment because Plaintiff was hospitalized shortly thereafter.
11 (Tr. 19.) The court may make reasonable inferences from the ALJ's
12 discussion of the evidence. See *Magallenes v. Bowen*, 881 F.2d 747,
13 755 (9th Cir. 1989). The opinion need not be credited because the ALJ
14 did not reject it.

15 Plaintiff's argument is unclear, but Plaintiff implies the court
16 should accept Mr. Watts' estimate that the limitation on work
17 activities would continue for 12 months as evidence that Plaintiff's
18 limitations met the 12 month duration requirement. (Ct. Rec. 20 at
19 9.) This argument is unpersuasive. 20 C.F.R. § 416.909 requires a
20 showing that, unless the impairment is expected to result in death, it
21 must have lasted or must be expected to last for a continuous period
22 of at least 12 months. Plaintiff bears the burden of establishing he
23 met the duration requirement. *Roberts v. Shalala*, 66 F.3d 179, 182
24 (9th Cir. 1995). It is not proper to rely on an estimate that the
25 Plaintiff might be disabled for the required duration when actual
26 evidence regarding the period in question is available in the record.

27 Furthermore, the estimate given by Mr. Watts was modified by the
28

1 phrase "without medical treatment." (Tr. 140.) The record reflects
2 that Mr. Vasquez received medical treatment for his condition (Tr.
3 151-246) and improved, at least for a period of time. (Tr. 158, 257.)

4 On December 19, 2005, Plaintiff was evaluated by physician
5 assistant Rasan Spraggins. (Tr. 269-75.) Ms. Spraggins diagnosed
6 ascites, alcoholic cirrhosis of the liver and a benign nevus. (Tr.
7 274.) Severe limitations were assessed in sitting, standing, walking,
8 lifting, handling and carrying due to ascites and cirrhosis. (Tr.
9 271.) She also indicated Plaintiff had restricted mobility in
10 bending, crouching, handling, kneeling, pulling and pushing due to the
11 size of Plaintiff's abdomen. (Tr. 271.) Ms. Spraggins opined that
12 Plaintiff's work level was severely limited. (Tr. 271.)

13 Plaintiff argues the ALJ improperly rejected Ms. Spraggins'
14 December 2005 opinion. (Ct. Rec. 20 at 9-10.) The ALJ provided four
15 reasons for discounting the opinion. (Tr. 20.) As an "other source"
16 or lay witness opinion, Ms. Spraggins' assessment may be discounted by
17 the ALJ for reasons "germane" to that report. *See Dodrill v. Shalala*,
18 12 F.3d 915, 919 (9th Cir. 1993).

19 The first reason given by the ALJ is although Ms. Spraggins
20 reported in December 2005 that Plaintiff "continued to be severely
21 limited by the size of his abdomen," medical records indicated
22 Plaintiff had no pain complaints or mention of abdominal problems by
23 June 2005. (Tr. 20.) The ALJ is correct that June 29, 2005, office
24 visit notes state Plaintiff reported no pain and there is no specific
25 mention of abdominal problems. (Tr. 255.) However, by November 16,
26 2005, office notes indicate Plaintiff was again experiencing chronic
27 abdominal pain. (Tr. 347.) Ms. Spraggins assessment of Plaintiff's

1 condition was dated December 19, 2005, nearly 6 months after Plaintiff
2 reported having no pain. (Tr. 269-75.) The record indicates
3 Plaintiff's condition improved by June 2005 and worsened again by
4 December 2005. Furthermore, Ms. Spraggins did not state Plaintiff
5 "continued" to be limited from June 2005 to December 2005 or over any
6 other period of time. Thus, the June 2005 report of no pain is not a
7 legitimate or germane reason for rejecting Ms. Spraggins' December
8 2005 opinion.

9 The second reason mentioned by the ALJ for discounting Mr.
10 Spraggins' report is that in November 2005, "the claimant admitted to
11 drinking and not taking his medications and his abdomen had become
12 distended again." (Tr. 20.) On November 16, 2005, Plaintiff admitted
13 to "a couple of drinks of alcohol" during a follow up appointment and
14 stated he forgot to take his medication the previous evening. (Tr.
15 346.) However, this reason is not germane to Ms. Spraggins' report.
16 The evidence cited by the ALJ may be relevant to the role alcohol
17 abuse plays in Plaintiff's condition,² but it does not undermine Ms.

18
19 ²The ALJ must conduct the five-step inquiry without attempting to
20 determine the impact of substance addiction. 20 C.F.R. §§
21 404.1535(a), 416.935(a). If the ALJ finds the claimant is not
22 disabled under the five-step inquiry, the claimant is not entitled to
23 benefits, and there is no need to proceed with further analysis. *Id.*
24 Only when the ALJ finds the claimant disabled and there is evidence of
25 substance addiction should the ALJ proceed under the sequential
26 evaluation and 20 C.F.R. § 404.1535 or § 416.935 to determine if the
27 claimant would still be disabled absent the substance addiction.

1 Spraggins' opinion of the severity of Plaintiff's limitations in
2 December 2005. Thus, this is an improper reason for rejecting Ms.
3 Spraggins' report.

4 The third reason given by the ALJ for rejecting Ms. Spraggins'
5 report is that Ms. Spraggins is not an acceptable medical source.
6 (Tr. 20.) An "other source" opinion is by definition not the opinion
7 of an acceptable medical source. Pursuant to *Sprague* and *Dodrill*, the
8 ALJ is required to consider evidence supplied by lay witnesses. Thus,
9 the fact that Ms. Spraggins is not a physician is not a legitimate
10 reason for discounting her opinion.³

11 _____
12 *Bustamante*, 262 F.3d 949, 955 (9th Cir. 2001). If a claimant is found
13 disabled with the effects of substance addiction, it is the claimant's
14 burden to prove substance addiction is not a contributing factor
15 material to her disability. *Parra v. Astrue*, 481 F.3d 742, 748 (9th
16 Cir. 2007).

17 ³Plaintiff relies on *Gomez v. Chater*, 74 F.3d 967, 971 (9th Cir.
18 1996) and asserts that "a nurse practitioner, working in conjunction
19 with a physician, constitutes an acceptable medical source, while a
20 nurse practitioner working on his or her own does not." (Ct. Rec. 20
21 at 10.) Plaintiff also states Ms. Spraggins' opinion "was signed off
22 by a superior who is believed to be a doctor" and the clinic at which
23 Ms. Spraggins works has many physician assistants, "but there are also
24 doctors who supervise them." (Ct. Rec. 20 at 10.) As noted by
25 Defendant, the subsection of the regulation which was the basis of the
26 *Gomez* finding regarding nurse practitioners as acceptable medical
27 sources when part of an interdisciplinary team was deleted by
28

1 The fourth reason given by the ALJ is that Ms. Spraggins' opinion
2 is not well supported by clinical and laboratory diagnostic
3 techniques. However, Ms. Spraggins noted, "[Patient] is limited [due
4 to] size of abdomen." (Tr. 271.) When asked to describe signs or
5 proof of assessed limits, Ms. Spraggins referenced her abdominal exam
6 notes. (Tr. 270.) The physical exam notes indicate, "fluid wave
7 present, unable to palpate liver or spleen d/t [due to] size of
8 abdomen." (Tr. 274.) Additional diagnostic tests were not necessary
9 to observe the size of Plaintiff's abdomen was limiting. Ms.
10 Spraggins also mentioned and attached a general health panel and an
11 acute hepatitis panel as the basis for her opinion. (Tr. 270, 276-
12 81.) Furthermore, Ms. Spraggins noted medical records were available
13 from the VA for "numerous other test [sic] he's had in the past year."
14 (Tr. 270.) Thus, the ALJ's general assertion that Ms. Spraggins'
15 opinion is not supported by medical evidence is not supported by

16
17 amendment in 2000. 65 Fed. Reg. 34950, 34952 (June 1, 2000). Under
18 current regulations, physician assistants qualify only as an "other
19 source" to be considered. 20 C.F.R. §§ 404.1513(d), 416.913(d).
20 There is no provision for a physician assistant to become an
21 acceptable medical source when supervised by a physician or as part of
22 an interdisciplinary team. *Id.* Plaintiff's additional argument
23 asserting that Ms. Spraggins opinion was "signed off by an acceptable
24 medical source," (Ct. Rec. 20 at 10, 23 at 2) is not supported by the
25 record as there is no evidence that the signature or initial at the
26 bottom of Ms. Spraggins' report was made by a physician. (Tr. 272.)
27
28

1 substantial evidence and does not constitute a germane reason for
2 rejecting the report.

3 Finally, the ALJ cited 20 C.F.R. 404 1527(e)(1) and 416.927(e)(1)
4 and stated, "A statement by a medical source that a claimant is
5 'disabled' or 'unable to work' does not mean that the Administration
6 will determine that a claimant is disabled." (Tr. 20.) While the ALJ
7 correctly cites the regulations, this does not relieve the ALJ from
8 the obligation of citing reasons supported by substantial evidence for
9 rejecting a physician's assistant's opinions. Here, the ALJ failed to
10 provide an acceptable, germane reason supported by substantial
11 evidence for rejecting the opinion of Ms. Spraggins.

12 Plaintiff, without citing authority, urges the court to credit
13 the opinion of Ms. Spraggins and find Plaintiff disabled. When an
14 ALJ fails to provide adequate reasons for rejecting the opinion of a
15 treating or examining physician, the general rule is that "we credit
16 that opinion as a matter of law." *Lester v. Chater*, 81 F.3d 821, 834
17 (9th Cir. 1996); *Pitzer v. Sullivan*, 908 F.2d 502, 506 (9th Cir. 1990);
18 *Hammock v. Bowen*, 879 F.2d 498, 502 (9th Cir. 1989). However, the
19 court finds no authority for such a remedy when the opinion of an
20 "other source" or lay witness is improperly rejected. Thus, the
21 appropriate remedy is remand for proper consideration of Ms.
22 Spraggins' opinion.

23 On remand, the ALJ should reassess the opinion of Ms. Spraggins.
24 Because the only significant opinions with respect to the severity of
25 Plaintiff's condition are not acceptable medical sources, the ALJ may
26 find it helpful to obtain the opinion of a medical expert as to
27 Plaintiff's limitations during the closed period. If the outcome
28

1 changes and the ALJ finds Plaintiff was disabled, the record suggests
2 that alcohol may have been a contributing factor material to the
3 disability. If appropriate, the ALJ should make the analysis required
4 by *Bustamante v. Massanari*, 262 F.3d 949, 954 (9th Cir. 2001).

5 It is also noted that Plaintiff argues his impairment meets the
6 requirements of listing 5.05F(1) for chronic liver disease. (Ct. Rec.
7 20 at 11.) As Defendant points out, at the time of the ALJ's
8 decision, listing 5.05F(1) required confirmation of chronic liver
9 disease by biopsy, as well as one of three additional listed criteria.⁴
10 20 C.F.R. Pt. 404, Subpt. P, App. 1, 5.05F(1) (2007). A claimant's
11 impairment is not a listed impairment solely because it has been
12 diagnosed as a listed impairment; the criteria shown in the listing of
13 that impairment must also be present. 20 C.F.R. § 404.1525(d). See

15 ⁴In addition to confirmation of chronic liver disease by liver
16 biopsy, a claimant must also have one of the following to meet the
17 Listing 5.05F(1): (1) ascites not attributable to other causes,
18 recurrent or persisting for at least 3 months, demonstrated by
19 abdominal paracentesis or associated with persistent hypoalbuminemia
20 of 3.0 gm. Per deciliter (100 ml) or less; (2) serum bilirubin of 2.5
21 mg. Per deciliter (100 ml) or greater on repeated examinations for at
22 least three months; or (3) hepatic cell necrosis or inflammation,
23 persisting for at least 3 months, documented by repeated abnormalities
24 of prothrombin time and enzymes indicative of hepatic dysfunction.
25 Plaintiff asserts he qualifies under the ascites criteria, although
26 the record suggests his ascites may be attributable to another cause,
27 alcoholism. (Ct. Rec. 20 at 11; Tr. 151, 153.)

1 also *Marcia v. Sullivan*, 900 F.2d 172, 175 (9th Cir. 1990). The record
2 does not include results of a liver biopsy and therefore Plaintiff
3 does not meet the first criteria necessary for a Listing under
4 5.05F(1).

5 **CONCLUSION**

6 Having reviewed the record and the ALJ's findings, the court
7 concludes the ALJ's decision is not supported by substantial evidence
8 and is based on legal error. On remand, the ALJ shall properly
9 consider the opinion of physician assistant Rasan Spraggins. The ALJ
10 may find the assistance of a medical expert helpful in evaluating the
11 medical evidence and, if appropriate, should conduct an analysis
12 pursuant to *Bustamante*. Accordingly,

13 **IT IS ORDERED:**

14 1. Plaintiff's Motion for Summary Judgment (**Ct. Rec. 19**) is
15 **GRANTED**. The matter is remanded to the Commissioner for additional
16 proceedings pursuant to sentence four 42 U.S.C. 405(g).

17 2. Defendant's Motion for Summary Judgment (**Ct. Rec. 21**) is
18 **DENIED**.

19 3. An application for attorney fees may be filed by separate
20 motion.

21 The District Court Executive is directed to file this Order and
22 provide a copy to counsel for Plaintiff and Defendant. Judgment shall
23 be entered for Plaintiff and the file shall be **CLOSED**.

24 DATED April 3, 2009.

25
26 S/ CYNTHIA IMBROGNO
27 UNITED STATES MAGISTRATE JUDGE
28